

Common Application Form For Holding Units In Demat Mode

Distributor / Broker ARN ARN - 0906	Sub-Broker / Bank Branch Code	M.O. Code
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Application No. :

For Office Use Only

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor. Any correction of Broker Code requires investor's authentication.

1 APPLICANT'S INFORMATION (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words)

SOLE/FIRST APPLICANT'S PERSONAL DETAILS AS APPEARING ON PAN CARD Are you a U.S. person / resident of Canada? (✓) Yes No^{††} ^{††} Default if not ticked.

Name Mr Ms M/s _____

Date of Birth^{††} (Mandatory for Minors)

D	D	M	M	Y	Y	Y	Y
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PAN** (Mandatory) _____ Enclosed (✓) PAN Card Copy KYC Compliance Proof*
 ~ Proof Enclosed (✓) Birth Certificate School Leaving Certificate Marksheet issued by HSC/State Board Passport Others _____ (please specify)

e-mail _____

Occupation[†] (✓) Private Sector Service Public Sector / Government Service Business Professional Agriculturist Retired
 Housewife Student Proprietorship Forex Dealer Others (Please specify) _____

Guardian Name (if Sole / First applicant is a Minor) **Contact Person** (in case of Non-individual Investors only)

Mr Ms M/s _____

Natural Guardian* (Father or Mother) Legal Guardian** (court appointed Guardian) **PAN**** (Mandatory) _____

* It is mandatory to specify the date of birth and guardian's relationship with the minor and provide self-attested proofs for the same. ** In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support. Enclosed (✓) PAN Card Copy KYC Compliance Proof*
 PAN/KYC not required for contact person but required for Guardian of Minor.

Nationality[†] _____ Country of Residence[†] _____

Address for Correspondence[†] [P.O. Box Address is NOT sufficient] (Should be same as in CVL records, please refer to point 8 under Important Instructions)

City _____ Pin Code _____
 State _____ Country _____

Contact Details

Phone O _____ Extn. _____ Fax _____
 R _____ Mobile _____

Overseas Address (Mandatory in case of NRI / FII applicant in addition to mailing address) (Should be same as in CVL records, please refer to point 11 under Important Instructions)

City _____
 State _____ Country (Mandatory) _____ Zip Code _____

Status (✓) Resident Individual Minor Partnership Company HUF FII NRI Trust Society AOP / BOI Others _____

Mode of Holding (✓) Single Joint (Default if not mentioned) Anyone or Survivor

Name of Second Applicant (Not applicable if Sole / First Applicant is a Minor and Second Applicant cannot be a Minor) Are you a U.S. person / resident of Canada? (✓) Yes No^{††} ^{††} Default if not ticked.

Mr Ms M/s _____

PAN** (Mandatory) _____ Enclosed (✓) PAN Card Copy KYC Compliance Proof* Date of Birth

D	D	M	M	Y	Y	Y	Y
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Name of Third Applicant (Not applicable if Sole / First Applicant is a Minor and Third Applicant cannot be a Minor) Are you a U.S. person / resident of Canada? (✓) Yes No^{††} ^{††} Default if not ticked.

Mr Ms M/s _____

PAN** (Mandatory) _____ Enclosed (✓) PAN Card Copy KYC Compliance Proof* Date of Birth

D	D	M	M	Y	Y	Y	Y
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2 DEMAT ACCOUNT DETAILS (MANDATORY)

Please ensure the sequence of names as mentioned in the Application Form matches with the Depository Participant records. Please (✓) NSDL OR CDSL

Depository Participant (DP) ID (NSDL only)	Beneficiary Account No. (NSDL only)	Depository Participant (DP) ID (CDSL only)
_____	_____	_____

Document Attached (Please ✓ any one): Client Master List (CML) Transaction cum Holding Statement Delivery Instruction Slip (DIS)

* W.e.f. January 1, 2011, all the applicants need to be KYC Compliant irrespective of the amount invested (including switch) (for details refer point 8 under Important Instructions).
 ** Please note that w.e.f. January 1, 2008, PAN number is Mandatory for all investors (including Joint Holders, Guardian in case of Minor and NRIs). Please see point 6 under Important Instructions.
 † Please note that information sought here will be obtained from CVL also. In case of any differences, the CVL input will apply. ...continued overleaf ⇨

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Application No. :

Received from _____ Name of First Applicant/Unitholder _____ an application for
 investment in _____ Scheme _____ Plan _____ Option _____

NSDL DP ID _____	NSDL Beneficiary Account Number _____	DP Name _____
CDSL DP ID & Beneficiary Account Number _____	_____	_____

Investment Amount	Investment Cheque Details
Rs. _____	Cheque No. _____ dated DD / MM / YYYY drawn on _____ Bank _____ _____ Branch _____ City _____

For Office Use Only
Acknowledgement Stamp & Date

Please Note : All purchase are subject to realisation of instruments. All transaction processing is subject to final verification.

3 INVESTMENT & PAYMENT DETAILS (Please (✓) Scheme / Option / Sub-Option)

Scheme	<input type="text"/>	Plan	<input type="text"/>
Option	<input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus		
Sub-option	<input type="checkbox"/> Growth (default) <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout	Dividend Frequency	<input type="text"/>
<input type="checkbox"/> A) ONE TIME LUMPSUM INVESTMENT (Please fill the details hereunder. Do not submit SIP Auto Debit Form)			
Investment Amount	Rs. <input type="text"/>	DD charges (Rs.)	<input type="text"/>
Net Amount (Cheque / DD amount)	Rs. <input type="text"/>	Mode of Payment	<input type="text"/> Cheque / DD
Cheque / DD No.	<input type="text"/>	Dated	<input type="text"/>
Cheque / DD Drawn on : Bank	<input type="text"/>	Branch	<input type="text"/>
City	<input type="text"/>	A/c No.	<input type="text"/>
A/c. Type (✓)	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* <input type="checkbox"/> Others (* For NRI Investors)		

 B) SIP : SYSTEMATIC INVESTMENT PLAN (For SIP through ECS Debit Clearing) (Please fill up SIP Auto Debit Form and attach with this)**First SIP Cheque/DD Details :**

Cheque / DD No.	<input type="text"/>	Cheque/DD Date	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y
Drawn on : Bank	<input type="text"/>	Branch	<input type="text"/>
City	<input type="text"/>	A/c No.	<input type="text"/>

4 DECLARATION AND SIGNATURES (In case of joint holding, signatures of all unit holders are mandatory)

The Trustees, HSBC Mutual Fund
Having read and understood the contents of the Combined Scheme Information Document, SAI and Addenda of the Scheme(s) issued till date, I/We hereby apply under Direct/ AMFI Certified empanelled distributors to the Trustees of HSBC Mutual Fund for units of the Scheme/Plan/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby authorise HSBC Mutual Fund, its Investment Manager and its Agents to disclose details of my/our investment to my/our bank(s)/HSBC Mutual Fund's Bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/our bank details provided by me/us. I/We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in ECS/Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform HSBC Asset Management (India) Pvt. Ltd., about any changes in my/our bank account. I/We have read and agreed to the terms and conditions for ECS/Direct Debit. *I/We confirm that I am/we are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR Account. I/We confirm that the details provided by me/us are true and correct. I/We hereby declare that the amount being invested by me/us in the Scheme(s) of HSBC Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. *Applicable to NRI : I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We confirm that I/We do not have any existing Micro SIP investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a year. (Applicable for Micro SIP investments only.)
I/We confirm that I am/We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I/We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

Sole / First Applicant / Guardian / PoA	<input type="text"/>
Second Applicant / PoA	<input type="text"/>
Third Applicant / PoA	<input type="text"/>
Date	<input type="text"/>
<i>Please write Application Form No./Folio No. on the reverse of the Cheque/Demand Draft.</i>	

Default options will be applied in cases where the information provided is either ambiguous or has any discrepancy.

Instructions, Terms and Conditions: Application form with Credit of Units in Demat Account

This form should be submitted by investors only if they wish to get the units credited into their demat account instead of receiving units in the physical form. All details required in the form are mandatory.

Please read carefully the Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM) and all relevant Addenda before filling up the application form. Investors are deemed to have read and accepted the terms, as prevailing on the date of application being accepted and units being allotted even if they are using an old application form, subject to which these offers are being made, and bind themselves to the terms upon signing the application form.

Please read the following instructions for filling the application form for crediting Units in Demat Account, in addition to the general instructions available in the KIM.

DEMAT ACCOUNT DETAILS, CREDIT OF UNITS AND SUBSEQUENT FACILITIES

- Applicants are advised to mention clearly their demat account details with depositories and in block letters in the designated space. The demat account details, as provided by the investor will be considered for credit of units in dematerialized form.
- Applicants are also advised to attach the Client Master List (CML) or the Transaction cum Holding Statement or the Delivery Instruction Slip (DIS) or a copy thereof, without which the Demat Account details will not be considered while processing your application
- In case Demat account details are not provided or are incomplete or the details do not match with the records as per Depository(ies), units will be allotted in physical form.
- The units will be credited to the depository account as mentioned by the applicant, only after the payment has been realized to the satisfaction of the AMC and due verification of applicants' details with depositories has been done. In case of SIP the units will be allotted based on the applicable NAV as per the SID and will be credited to investors Demat account on weekly basis upon realization of funds.
- Applicants are advised to be familiar with and provide additional documents/declaration required in case of permissible third party payments or payments via demand drafts.
- In case of credit of units to depository account, applicants' details like the mode of holding, bank account, correspondence address, payment bank, nomination etc. will be considered as appearing in the depository account only for various purposes. Any subsequent changes in static information like address, bank details, nomination etc. will not be entertained by the fund and

investors should make these changes in their demat account only.

- If the demat account details do not match with applicants' name and order, units will be allotted in physical form. Bank details in such cases shall be captured from the payment instrument provided by the investor. No further transactions shall be permitted in such folio till the KYC related documents or a valid depository account details are provided. Unit holders holding units in physical form and desiring to convert their holding into a demat form, should submit the relevant request form along with the account statement to their respective Depository Participant.
- Post processing of this application, additional purchase requests should be submitted either at our official points of acceptance or through the Stock Exchange Platform (provided the schemes are enabled on the platform) only.
- Applicants should submit redemption requests either at their DP or through the Stock Exchange platform provided the schemes are enabled on the platform. For NRI investors, redemptions can be placed only through DP.
- In case of redemptions submitted through the Stock Exchange platform, the payout will be made to the depository's pool account. In case of redemptions submitted through the DP, the payout will be made directly to the bank account linked with the Demat Account.
- The demat facility is currently available for Purchase, Redemption and SIP transactions. All other transactions including STP / SWP and schemes with plans/options where the dividend distribution frequency is less than one month will be restricted.
- Investments cannot be made on behalf of an applicant by a Power of Attorney (PoA) Holder using this form.
- All expenses in connection with demat account/dematualisation of units will have to be incurred by the investors.

DECLARATION AND SIGNATURES

- Signature should be in black or blue ink only.
- Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his / her official seal. In case of HUF, the Karta will sign on behalf of the HUF.
- Applications on behalf of minors should be signed by their Guardian. HSBC Mutual Fund / AMC, reserves the right to reject any application *inter alia* in the absence of fulfilment of regulatory requirements, fulfilment of requirements of the Combined SID, SAI and furnishing necessary information to the satisfaction of the Mutual Fund / AMC.

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units)

Distributor / Broker ARN	Sub-Broker / Bank Branch Code	M.O. Code
ARN - 0906		

Application No. :

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Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor. Any correction of Broker Code requires investor's authentication.

1 ECS DEBIT BANK ACCOUNT DETAILS (MANDATORY) (Cheque should be drawn on bank, details provided below or please attach cancelled Cheque copy.)

I / We hereby authorise HSBC Asset Management (India) Pvt. Ltd., Investment Manager to HSBC Mutual Fund acting through their authorised service providers to debit my / our following bank account by ECS (Debit Clearing) / Direct Debit Facility or any other facility for collection of SIP payments.

Name of the Account Holder as in Bank Records	First Name	Middle Name	Last Name
Folio No.	Scheme Name		
Name of the Bank			
Branch Address			
Account Number	A/c. Type (✓)	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* *For NRI Investors	
Monthly Debit Amount	MICR Code	◀ (9 digit number next to your Cheque No.)	
SIP Date (✓)	Monthly (Default [^]): <input type="checkbox"/> 3rd <input type="checkbox"/> 10th (Default [^]) <input type="checkbox"/> 17th <input type="checkbox"/> 26th <input type="checkbox"/> 30th ^{##} <input type="checkbox"/> All Dates <input type="checkbox"/> Quarterly (10th)		
SIP Period	Start Date	End Date	<input type="checkbox"/> March 2025 (Default ^{^^})
## Last Business Day of the month for February ^ Refer instruction 4b(g) ^^ Refer instruction 4b(h)			

There should be a minimum time gap of 25 Business Days for the first instalment of SIP through ECS (Debit Clearing) or Direct Debit. Minimum 12 instalments under Monthly SIP and 4 quarters for Quarterly SIP.

2 AUTHORISATION OF THE BANK ACCOUNT HOLDER [to be signed by the Account Holder(s)]

This is to inform I / we have registered for the RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit Facility and that my / our payment towards my / our investment in HSBC Mutual Fund shall be made from my / our below mentioned bank account number with your bank. I / We authorise HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), acting through their service providers and representative carrying this ECS mandate / Direct Debit Facility Form to get it verified & executed. Mandate verification charges, if any, maybe charged to my / our account.

SIGNATURE(S) (As In Bank Records)

Account Number			
	Sole/First Account Holder	Second Account Holder	Third Account Holder

Instructions for SIP Investment

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| <p>a) In case an investor wishes to invest on a monthly basis, the investor is required to provide:</p> <p>(i) at least 12 cheques of minimum Rs. 1,000 (Rs. One Thousand)</p> <p>or</p> <p>(ii) one cheque for the first installment in addition to a mandate form to enable subsequent debits either through Electronic Clearing System (ECS) debit or such other facilities as may be provided by the AMC for a block of atleast 11 installments.</p> <p>In case an investor wishes to invest on a quarterly basis, the investor is required to provide:</p> <p>(i) at least 4 cheques of minimum Rs. 3,000 (Rs. Three Thousand) each,</p> <p>or</p> <p>(ii) one cheque for the first installment in addition to a mandate form to enable subsequent debits either through Electronic Clearing System (ECS) debit or such other facilities as may be provided by the AMC for a block of atleast 3 balance installments.</p> <p>b) The cheque for the first SIP instalment can carry any date. The first instalment of the SIP will be processed subject to applicable NAV & load, if any, on the date of receipt of the application form (post dated cheque will not be accepted for the first SIP instalment). The second instalment in case of monthly SIP will be processed on the available SIP date [currently 3rd, 10th, 17th, 26th or 30th (last business day of the month for February) of every month] indicated by the investor, but immediately following the expiry of 25 Business Days from the date of processing the first SIP. If the choice of date for the second instalment is not indicated by the investor, the second instalment of SIP will be processed on the earliest SIP date [3rd, 10th, 17th, 26th or 30th (last business day of the month for February)] immediately following the expiry of 25 Business Days from the date of processing the first SIP</p> | <p>instalment. In case of quarterly SIP, the date for next instalment will be 10th of the relevant month. If any of above dates fall on a holiday, the transaction will be taken as of the next Business Day. Please refer to the Load Structure of each Scheme for details of applicable loads. Outstation cheques will not be accepted.</p> <p>c) Cheque should be drawn in the name of the respective Scheme(s) or its abbreviation as applicable and crossed "A/c. Payee only".</p> <p>d) You can choose multiple SIP dates in the Auto Debit Form in case you wish to make the SIP investment on multiple dates each month.</p> <p>e) If SIP form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits will not happen and SIP instruction shall be rejected.</p> <p>f) In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.</p> <p>g) In case the Frequency (Monthly/Quarterly) and SIP date is not indicated, Monthly frequency shall be treated as the Default Frequency, 10th shall be treated as the Default date.</p> <p>h) There is no maximum duration for SIP enrolment. However, SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The SIP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age. An investor has an option to choose the 'End Date' of the SIP by filling the date or by selecting the Default Date i.e. March 2025. In case the end date is not filled or default March 2025 chosen, the AMC reserves the right to reject such SIP applications</p> |
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